

Personal Data Collection, Processing and Utilization Notice

Mega Securities Co., Ltd. collects your personal data. In accordance with Article 8, Paragraph 1 of the Personal Data Protection Act (hereinafter referred to as the "PDPA"), we hereby notify you of the following matters:

Article 1 (Purpose of Collection)

- I. Business operations permitted by competent authorities and business registration items or business activities defined in the articles of incorporation, including securities, futures, securities investment trust and advisory, trust and other related financial services (Legal Specific Purpose Item Numbers: 166, 068).
- II. Collection, processing and utilization of personal data for compliance with legal requirements and financial regulatory needs, legal obligations, contracts, contract-like arrangements or other legal relationship matters (Legal Specific Purpose Item Numbers: 059, 063, 069).
- III. Internal statistics, research analysis and management needs.
- IV. Specific Purposes: (040) Marketing - including financial holding company joint marketing business, (059) Collection, processing and utilization by financial services industry as required by laws and regulations and financial regulatory needs, (068) Trust business, (069) Contracts, contract-like arrangements or other legal relationship matters, (090) Consumer and customer management and services, (166) Securities, futures, securities investment trust and advisory related business, (181) Other business operations in compliance with business registration items or activities defined in organizational articles of incorporation, (182) Other consulting and advisory services, etc.

Article 2 (Categories of Personal Data)

- I. Categories of personal data related to business operations that comply with the "Specific Purposes and Categories of Personal Data under the Personal Data Protection Act" as announced and amended by the Ministry of Justice.
- II. According to the Ministry of Justice's "Specific Purposes and Categories of Personal Data under the Personal Data Protection Act":
 - (I) Identification: (C001) Personal identifiers - such as name, address, telephone number, etc., (C002) Financial identifiers - such as financial institution accounts, etc., (C003) Government data identifiers - such as national ID number, passport number, etc.
 - (II) Characteristics: (C011) Personal descriptions, etc.
 - (III) Social circumstances: (C031) Residence and facilities, (C032) Property, (C038) Occupation, etc.
 - (IV) Financial details: (C081) Income, earnings, assets and investments, (C083) Credit ratings, (C086) Negotiable instrument credit, etc.
- III. Transaction data, credit data, investment data, insurance data.

Article 3 (Period of Utilization)

During the period of business operation permitted by competent authorities, meeting one of the following conditions:

- I. The duration period of the specific purpose for which personal data was collected.
- II. Retention periods stipulated by relevant laws and regulations or contractual agreements (such as the Accounting Act, etc.).
- III. Retention periods necessary for the Company to execute its business operations.

Article 4 (Areas of Utilization)

The Republic of China and areas where business operations are permitted by competent authorities and business registration items or activities defined in the articles of incorporation, related areas of business activities and related areas necessary to achieve the purposes of collection, processing and utilization: including the Company, the Company's branches, subsidiaries with subordinate relationships to the Company or parent companies with controlling relationships and their branches or group-related companies, institutions or consultants that have contracts with the Company or aforementioned companies due to business needs, and locations of recipients of international personal data transfers that are not restricted by central competent authorities.

Article 5 (Targets of Utilization)

- I. The Company, the Company's branches, subsidiaries with subordinate relationships to the Company or the Company's financial holding parent company with controlling relationships and its branches or group-related companies, or institutions (including joint marketing, cooperative promotion, etc.) or consultants (such as lawyers, accountants) that have contractual relationships or business dealings with the Company or aforementioned companies due to business needs, assignees permitted by competent authorities to take over all or part of the securities dealer business.
- II. Financial regulatory authorities or agencies with investigative powers by law or exercising public authority by law, securities or futures exchanges, GreTai Securities Market, Central Depository Company, trade associations, stock issuing companies, settlement banks, Taiwan Bills Clearing House (dividend distribution), Taiwan Depository & Clearing Corporation Company and other related institutions authorized by law to handle stock affairs, and others designated by competent authorities, including related institutions involved in business operations related to supervision, management, inspection, issuance, trading, credit investigation, transactions, settlement, stock affairs, etc., and financial regulatory institutions and agencies exercising public authority by law that have jurisdiction over the utilization targets listed in Point 1.

Article 6 (Methods of Utilization)

Utilization by automated machines or other non-automated methods, including but not limited to:

- I. Written or electronic.
- II. International transmission, etc.

Article 7 (Your Rights and Methods of Exercise)

You may request the Company to exercise the following rights regarding personal data held by the Company:

- I. Inquiry, request for access or request for copies, and the Company may charge necessary costs as permitted by law.
- II. Request for supplementation or correction, provided that you should provide appropriate explanations as required by law.
- III. Request to stop collection, processing or utilization and request for deletion, provided that the Company may not comply with your request if it is necessary for the Company to execute its business as required by law.

Article 8 (Impact on Rights and Interests Resulting from Your Refusal to Provide Personal Data)

Should you refuse to provide personal data, the Company will be unable to conduct necessary business review and processing operations and other related services. Therefore, the Company may refuse to accept business dealings with you and the handling and application of other related matters.