

2.1 Sustainable Governance Structure

The Sustainable Development Committee was established by the Board of Directors of Mega Securities to promote sustainability-related matters. The Committee is responsible for the planning and submission of short, medium and long-term sustainable development goals and action plans to the Board for approval, to provide effective supervision of sustainability initiatives. Sustainability-related internal audits and compliance and risk management measures are developed under the supervision of the Mega Securities Board of Directors. Sustainability-related bylaws and material actions for promoting sustainability are also disclosed through the Sustainability Report, Annual Report, or corporate website to keep stakeholders up to date on developments at the Company. Suppliers, customers and investees are invited to join in the building of a sustainability ecosystem through the promotion of sustainable development and initiatives.



**General Manager
of Mega Securities
Co., Ltd.**

吳明宗

Wu Ming-Tsung

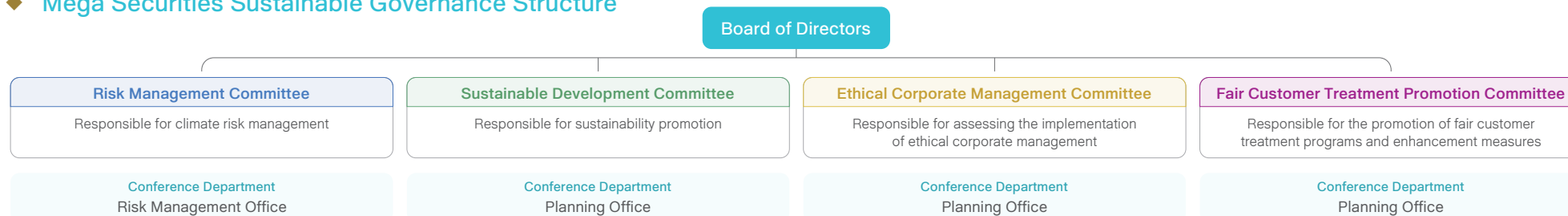
Message from the Chairperson

In the face of the sustainable development trend, under the dual responsibilities of achieving profitability and sustainable development, we continue to develop with a sustainability mindset and innovation. We also incorporate sustainability issues into various operational strategies and daily business, in order to lead all employees to implement sustainable development plans. At the same time, we share the concept of sustainability with our value chain partners, and jointly plan a new future of net zero transformation. Our aim is to realize the vision of sustainable development of the Group, while hoping to bring positive influence to society and the environment.

Sustainable Development Committee

The Sustainable Development Task Force was established by Mega Securities in June 2021. In June 2023, the unit was upgraded to become the Sustainable Development Committee reporting directly to the Board of Directors and the “Sustainable Development Committee Organic Charter” formulated. The Sustainable Development Committee is chaired by the Director and General Manager, with the heads of each department at its members. The Committee oversees six working groups (environmental sustainability, sustainable finance, customer commitment, employee care, social prosperity, and corporate governance). Each working group is headed by a unit supervisor or above and is responsible for the promotion of related affairs. The Sustainable Development Committee tracks the progress for each goal on a quarterly basis and reports them to the Board of Directors. Rolling adjustments to sustainable development goals are also made based on sustainable development trends and impact management of material topics. ESG goals for the following year are devised at the end of current year and submitted to the Board of Directors for discussion. Other functional committees involved in the promotion of sustainable development at Mega Securities include the Risk Management Committee responsible for climate risk management, the Fair Customer Treatment Promotion Committee responsible for the planning of Fair Customer Treatment Promotion Plan and Enhancement Measures, as well as the Ethical Corporate Management Committee responsible for evaluating the implementation of ethical corporate management. The Planning Office serves as the organizing unit for Sustainable Development Committee, the Fair Customer Treatment Promotion Committee, and the Ethical Corporate Management Committee. The organizing unit for the Risk Management Committee is the Risk Management Office.

◆ Mega Securities Sustainable Governance Structure



◆ Mega Securities Sustainable Development Committee Structure

