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2.1 Sustainable Governance Structure

The Sustainable Development Committee was established by the Board of Directors of Mega Securities to promote sustainabilityrelated matters. The Committee is responsible for the planning and submission of short, medium and long-term sustainable development goals and action plans to the Board for approval, to provide effective supervision of sustainability initiatives. Sustainability-related internal audits and compliance and risk management measures are developed under the supervision of the Mega Securities Board of Directors. Sustainabilityrelated bylaws and material actions for promoting sustainability are also disclosed through the Sustainability Report, Annual Report, or corporate website to keep stakeholders up to date on developments at the Company. Suppliers, customers and investees are invited to join in the building of a sustainability ecosystem through the promotion of sustainable development and initiatives.



General Manager of Mega Securities Co., Ltd.

吳明宗

Wu Ming-Tsung

Message from the Chairperson

In the face of the sustainable development trend, under the dual responsibilities of achieving profitability and sustainable development, we continue to develop with a sustainability mindset and innovation. We also incorporate sustainability issues into various operational strategies and daily business, in order to lead all employees to implement sustainable development plans. At the same time, we share the concept of sustainability with our value chain partners, and jointly plan a new future of net zero transformation. Our aim is to realize the vision of sustainable development of the Group, while hoping to bring positive influence to society and the environment.

Sustainable Development Committee

The Sustainable Development Task Force was established by Mega Securities in June 2021 In June 2023, the unit was upgraded to become the Sustainable Development Committee reporting directly to the Board of Directors and the "Sustainable Development Committee Organic Charter" formulated. The Sustainable Development Committee is chaired by the Director and General Manager, with the heads of each department at its members. The Committee oversees six working groups (environmental sustainability, sustainable finance, customer commitment, employee care, social prosperity, and corporate governance). Each working group is headed by a unit supervisor or above and is responsible for the promotion of related affairs. The Sustainable Development Committee tracks the progress for each goal on a quarterly basis and reports them to the Board of Directors. Rolling adjustments to sustainable development goals are also made based on sustainable development trends and impact management of material topics. ESG goals for the following year are devised at the end of current year and submitted to the Board of Directors for discussion. Other functional committees involved in the promotion of sustainable development at Mega Securities include the Risk Management Committee responsible for climate risk management, the Fair Customer Treatment Promotion Committee responsible for the planning of Fair Customer Treatment Promotion Plan and Enhancement Measures, as well as the Ethical Corporate Management Committee responsible for evaluating the implementation of ethical corporate management. The Planning Office serves as the organizing unit for Sustainable Development Committee, the Fair Customer Treatment Promotion Committee, and the Ethical Corporate Management Committee. The organizing unit for the Risk Management Committee is the Risk Management Office.



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♦ Mega Securities Sustainable Governance Structure

Board of Directors

Risk Management Committee

Responsible for climate risk management

Conference Department
Risk Management Office

Sustainable Development Committee

Responsible for sustainability promotion

Conference Department
Planning Office

Ethical Corporate Management Committee

Message from the Chairperson

Responsible for assessing the implementation of ethical corporate management

Conference Department
Planning Office

Fair Customer Treatment Promotion Committee

Responsible for the promotion of fair customer treatment programs and enhancement measures

Conference Department
Planning Office

♦ Mega Securities Sustainable Development Committee Structure

Board of Directors

Sustainable Development Committee

Chairperson (General Manager)

Conference Department Planning Office

Executive Secretary

Member

(The other members includes the Chief Risk Officer and the heads of the Brokerage Business Department, Wealth Management and Trust Business Department, Proprietary Business Department, Bond Business Department, Financial Commodity Business Department, Capital Market Business Department, Share Agency Department, Information Department, Administrative Management Department, Finance Department, Share Agency Department, Legal Affairs and Compliance Office, Planning Office, HR Department, and Digital Innovation Department.)

Environmental Sustainability Team

Team leader ager of the Administrative

- Environmental protection
- Green Operations
- Green sourcing
- · Supply chain management

Sustainable Finance Team

Team leader

Head of Integrated Plannin Team, Planning Office

- Green and transition finance
- Responsible investment
- Climate and natural risk management

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Customer Commitment Team

Team leader

Head of the Brokerage Busines
Department and the Channel
Management Department

- Inclusive Finance
- Customer Relations
- Information Security
- Digital Innovation

Employee Care Team

Team leader

d of the Department of HR

- Diversified talent recruitment
- · Talent development
- Salary and benefits
- · Occupational safety and health
- · Human Rights Protection

Mutual Prosperity Team

Team leader

Head of Public Relations Team, Planning Office

- Care for the disadvantaged
- Cultural heritage
- 4.2 Financial Education
- Ecological sustainability

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Corporate Governance Team

Team leader

Head of Corporate Governance

- Diversity of the Board of Directors
- Risk Management
- Ethical Corporate Management
- Compliance
- Other corporate governance-related matters